<u>Confidential Financial Planning Survey</u>

Please complete the survey to the best of your knowledge then submit to TWM for review. All information is held in the strictest confidence per our *Privacy Policy*. We will contact you in 3-5 business days to discuss the results. If you think TWM can help you, we invite you to join us for a *free, no obligation* consultation.

1. Do you currently have a financial advisor/investment broker? Yes ___ No ____
2. Do you own your own business? Yes ___ No ____
3. Do you own investment real estate? Yes ___ No ____
4. Do you have an employer sponsored retirement savings plan? Yes ___ No ____
5. Do you have anyone providing proactive tax planning for you? Yes ___ No ____
6. Do you need help with college planning/funding? Yes ___ No ____
7. Place a check by the estate documents below that you have executed with a licensed attorney:

<u>Husband</u>	<u>Wife</u>
Will ____ Living Will ____	Will ____ Living Will ____
Power-of-attorney (POA) Finance ____	Power-of-attorney (POA) Finance ____
POA Healthcare ____	POA Healthcare ____
Revocable Trust ____	Revocable Trust ____
Other Trusts ____	Other Trusts ____

8. Have the above documents been reviewed/updated within the last 5 years? Yes ___ No ____
9. Does your employer provide executive incentive income? (stock options etc.) Yes ___ No ____
10. What type investments do you currently hold or held in the past? Check all that apply.

    Individual stocks ____ Individual Bonds ____ Mutual Funds ____

    Exchange Traded Funds ____ Structured notes ____ Private Equity ____

    Options (puts/calls etc.) ____ Futures Contracts ____
11. Your estimated Net Worth is $ ____________
12. The approximate value of all your investment accounts is $___________

<u>Contact Information</u>

Name: ____________________ Age ____ Spouse Name: ____________________ Age ____

Phone (H): ____________ (W) ____________ ext. ______

Email: ____

Best method and time to contact you: _______________________